

Additional Permitted Subscription (APS) Application and Transfer

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This form should be used for an internal APS transfer from a deceased customer's
Principality ISA to the surviving spouse / civil partner's Principality ISA.

Investor details:

Full name	
Permanent residential address	
Date of birth	
National insurance number (or confirmation that the investor does not have one)	

Details of the deceased:

Full name			
Permanent residential address			
Date of birth		Date of death	
National Insurance Number (if known)		Date of marriage or civil partnership between the investor and the deceased	
Existing ISA account number(s)			

Please note if multiple ISAs were held by the deceased with the ISA manager (Principality) their value will be combined to form one APS allowance.

APS Allowance Subscription Information

I (the investor) wish to subscribe all / £_____ from my APS allowance in respect of the deceased and wish to make my subscription to a Cash ISA.

ISA account No.	Amount per ISA (£)

Any further amount on closure to be paid to account number _____

Please note that Principality Building Society will only accept a one-off lump sum payment. If the lump sum payment is less than total amount of the APS allowance then the remaining allowance will be lost.



Where home matters
[principality.co.uk](https://www.principality.co.uk)

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APS eligibility declaration:

I (the investor) declare that:

I am the surviving spouse / civil partner of the deceased.

I was living with the deceased within the meaning of Section 1011 of the Income Tax Act 2007 at the date of the deceased's death (we were not separated under a court order, under a deed of separation, or in circumstances where the marriage or civil partnership had broken down).

The subscription is made under the provisions of regulation 5DDA of the ISA regulations (additional permitted subscription)

The subscription is being made

- in the case of 'in specie' subscriptions, within 180 days of beneficial ownership passing to me
- in the case of cash subscriptions, within 3 years of the date of death, or if later, 180 days of the completion of the administration of the estate.

I am aged 16 years of age or over [cash ISA] or, I am aged 18 years of age or over [stocks & shares ISA]

All subscriptions made, and to be made, belong to me

I authorise Principality

to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash

or

if the ISA is a cash ISA invested in a deposit account, to hold my cash subscriptions and any interest earned on those subscriptions

and

to make on my behalf any claims to relief from tax in respect of ISA investments.

I agree to the ISA terms and conditions.

I declare that this APS application form has been completed to the best of my knowledge and belief.

Signed

Date