

# Stocks and Shares ISA to Principality Cash ISA transfer form

## Information about you

Principality account number	
Title	
First name(s)	
Surname	
Date of birth	
National Insurance number (if you have one)	
Permanent home address	
Postcode	
Contact phone number	

## Information about the Stocks and Shares ISA you want to transfer

Name of existing ISA provider	
Address of existing ISA provider	
ISA Account number/reference	

**Please note** - As well as being subject to the terms and conditions of the Cash ISA receiving the transfer proceeds, the transfer will be undertaken subject to the terms and conditions applying to your Stocks and Shares ISA. For example: Some Stocks and Shares ISA products do not allow only part of an ISA to be transferred, or may place conditions on how such a transfer is undertaken, e.g. when deciding which investments are sold to facilitate the transfer. Your existing ISA provider may need you to give them specific information before the transfer can go ahead. There may be outstanding fees to pay before the transfer goes ahead. If you are not sure what conditions apply please check with your existing stocks and shares ISA provider before completing this form.

1. Do you want to transfer all or part of this ISA? All  Part

If you answered 'All' to question 1 this will result in all investments currently held being sold. The sale proceeds, together with any uninvested cash currently held in the ISA, will be transferred to Principality in its entirety.

2. Have you subscribed to this Stocks and Shares ISA in the current tax year? Yes  No

If you answered 'All' to question 1, please go directly to the Transfer authority section overleaf.  
If you answered 'Part' to question 1, please answer questions 3 – 5 overleaf.

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**Partial transfers**

3. If you answered yes to Q2 do you want to transfer your current tax year ISA subscription (this would involve selling any investments made with the subscription from the current tax year)?	Yes <input type="checkbox"/> No <input type="checkbox"/>
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Please note that the amount in your account representing current tax year subscriptions can only be transferred in whole and not in part.

4. If you only want to transfer your subscriptions from the current tax year	<input type="checkbox"/> Tick here
OR	
If you only want to transfer all of your subscriptions from previous tax	<input type="checkbox"/> Tick here
OR	
If you only want to transfer some of your subscriptions from previous tax years (as well as investments relating to current year subs if you answered yes to Q3).	<input type="checkbox"/> Tick here and provide sale instructions by answering Q5.

**Stocks, Shares and/or Funds that you wish to sell**

Please check your selection is permitted by your existing Stocks and Shares ISA provider prior to submitting the form. Please note that you may be contacted by your existing ISA provider to agree what to sell.

5. Please use the space opposite to give instructions to your current Stocks and Shares ISA provider with regard to what specific value or Tax Year(s) should be transferred and/or what investments should be realised to meet the transfer.

If needed, please list all investments that you hold with the above ISA provider that you wish to sell and transfer the proceeds to your new Cash ISA with Principality. Alternatively, you may provide this information by supplying a separate list, e.g. by annotating a valuation statement from your provider.

Description of Investment	Investment Indicator e.g. ISIN, Sedol, Cuip etc. (if known)	Number or Value of Stocks, Shares, Units or specify "All" to sell the entire holding

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## Stocks, Shares and/or Funds that you wish to sell (cont)

### 5b. Uninvested cash to be transferred

Please say how much of your uninvested cash balance in your stocks and shares ISA you want to transfer

£  ,  ,

OR

If you want to transfer all of your uninvested cash balance

Tick here

## Transfer authority

I authorise my existing Stocks and Shares ISA provider as specified previously to sell investments and transfer the ISA in cash (account number above) to Principality Building Society.

I authorise my existing Stocks and Shares ISA provider to provide Principality Building Society with any information, written or non-written, concerning the stocks and shares ISA and to accept any instruction from them relating to the stocks and shares ISA being transferred.

Where I must give notice to close or transfer part of the existing stocks and shares ISA, or the existing stocks and shares ISA contains an investment which is designed and intended to be held for full term and has yet to reach redemption, I instruct my existing ISA provider to either: (tick the appropriate box)

1. Wait for the full notice period to end, or wait until redemption (whichever is relevant) before going ahead with this transfer;

OR

2. Depending on the terms and conditions, carry out the transfer as soon as possible – I accept any consequential loss of income, capital or charges that may be applied.

Signed

Date

## Transfer acceptance by Principality Building Society

We are willing to accept this ISA transfer in line with the customer's instructions above, as long as the following conditions are met.

- The transfer proceeds are made up of cash deposits only.
- We must receive all the transfer proceeds no later than  -  -
- Where the customer has shown above that they want to transfer subscriptions from the current tax year, these must be no more than

£  ,  .

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## Residual Income

- We must receive all residual income payments no later than   -   -    
Any residual income payments after this date must be paid away to the customer.
- We do not accept residual payments if they are below £     .    
These funds should be paid away to the customer.

For the purposes of the transfer of the ISA wrapper under the ISA regulations, the date shown below will be the transfer date.

Date:   -   -

Name of new ISA provider: Principality Building Society, PO Box 89, Queen Street, Cardiff, CF10 1UA.

### Please return this completed form to:

Principality Building Society,  
Principality Buildings,  
Customer Services,  
Savings ISA Team,  
PO Box 89,  
Queen Street,  
Cardiff,  
CF10 1UA.

or, to your local Principality branch



**CALL US**  
0330 333 4000 •



**ONLINE**  
principality.co.uk



**BRANCH**  
Pop in to see us

\* To help us maintain our service and security standards, telephone calls may be monitored and recorded.

Principality Building Society is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority, reference number 155998. Principality Building Society, Principality Buildings, Queen Street, Cardiff, CF10 1UA. principality.co.uk